

RDA之權威控制和 VIAF發展

中國圖書館學會
資訊組織進階班

2014年8月13日

課程大綱

- 上午

- 權威控制簡介和VIAF發展
- PCC NACO 權威工作和 MARC 21 權威紀錄欄位

- 下午

- 建立 RDA 個人名稱權威紀錄
- 建立 RDA 團體名稱權威紀錄

權威控制

- 編目時, 用來控制, 維護檢索點一致性及與其相關款目關係的過程
 - 即: 將檢索點標準化的過程
- 目的:
- 提供檢索點的一致性
 - 來區分同名不同人 (團體, 家族); 同題名不同作品; 共置同人不同名; 同作品不同書名 ... 等書目款目
 - 使具相同檢索點的書目紀錄在檢索時達到精確與並置功能 (precision and collocate)
 - 權威紀錄的見/另見款目連結相關款目有助讀者查詢相關書目資源

權威控制

包括

- 名稱權威 (name authority)
- 集叢權威 (series authority)
- 主題權威 (subject authority)

OPAC 書目紀錄權威控制資料

The adventures of Tom Sawyer /

Principal Author:

Twain, Mark, 1835-1910.

Title:

The adventures of Tom Sawyer / Mark Twain ; foreword, Shelley Fisher Fishkin ; introduction, E.L. Doctorow ; afterword, Albert E. Stone.

Publisher:

New York : Oxford University Press, 1996.

Description:

xxxviii, 274, 40 p. : ill. ; 23 cm.

Topic/Subjects:

Sawyer, Tom (Fictitious character)--Fiction.

Boys--Missouri--Fiction.

Mississippi River--Fiction.

Missouri--Fiction.

權威控制工作

- 並置不同名稱同作者的作品
例: J. K. Rowling, Joanne K. Rowling, Jo Rowling 皆指同人
- 區分同名不同作者的作品
例: 林語堂 (文學家) 作品; 林語堂 (數學家) 作品
- 並置不同題名的同一作品
例: Rowling, J. K. Harry Potter and the Chamber of Secrets
羅琳 哈利波特消失的密室
- 區分同題名的不同作品
例: 樂曲 Mozart, Concerto op. 1/Beethoven, Concerto no.1
- 並置相同主題的各類資源
例: 有關經濟學的書

提供檢索點的一致性

Rowling, J. K.

Titles: 28

Type: personal name

Search Also Under: For works of this author written under other names, search also under:

Rowling, Jo

Titles: 0

Type: personal name

See: Rowling, J. K.

Rowling, Joanne K.

Titles: 0

Type: personal name

See: Rowling, J. K.

精確並置書目紀錄

Title (begins with) (Harry Potter and the philosophers stone)

Edit Search

Save Search

Save Search as Alert


Print


Export



E-mail



Add to List

Select Page All

1  Harry Potter and the sorcerer's stone [braille] / J.K. Rowling.
Rowling, J. K.
1999
Fict Rowling
multiple items available, Children's/YA Collection

2  Harry Potter and the sorcerer's stone / by J.K. Rowling ; illustrations by Mary GrandPré.
Rowling, J. K.
1998
multiple holdings available

3  Harry Potter and the sorcerer's stone / J.K. Rowling ; illustrations by Mary GrandPré.
Rowling, J. K.
1999
Fict Rowling
 available, Reserve Desk - 3 Hour Loan

4  Harry Potter y la piedra filosofal / J.K. Rowling ; [traducción de Alicia Dellepiane].
Rowling, J. K.
1999
863 Rowl
 available, Children's/YA Collection

參見/另見款目協助檢索

Bachman, Richard

Titles: 0

Type: personal name

See Also: [King, Stephen, 1947-](#)

[Bachman, Ronald D., 1947-](#)

Titles: 1

Type: personal name

[Bachman, Ronald D., 1947-2012](#)

Titles: 1

Type: personal name

[Bachman, Ronet](#)

Titles: 8

Type: personal name

權威紀錄與書目紀錄連結提供一致性

Rowling, J. K.
Titles: 28
Type: personal name
Search Also Under: For works

Database: My Library Catalog
28 results found
[Edit Search] [Save Search] [Save Search as Alert]

[Print] [Export] [E-mail] [Add to List] [Select] Page All

Rowling, Jo
Titles: 0
Type: personal name
See: [Rowling, J. K.](#)

Rowling, Joanne K.
Titles: 0
Type: personal name
See: [Rowling, J. K.](#)

[Rowling, Marjorie](#)

1 [Fantastic beasts and where to find them / Newt Scamander ; special edition with a foreword by Albus Dumbledore.](#)
2001
Book
Fict Rowling
✗ not available, Children's/YA Collection

2 [Harry Potter and the chamber of secrets / by J.K. Rowling ; illustrations by Mary Grandpré.](#)
1999
Book
multiple holdings available

3 [Harry Potter and the chamber of secrets \[videorecording\] / a Warner Bros. Pictures presentation ; a Heyday Films/14: Columbus film ; produced by David Heyman ; screenplay by Steve Kloves ; directed by Chris Columbus.](#)
2003
Visual Material
DVD 1671
✔ available, Media Services - DVD

4 [Harry Potter and the chamber of secrets \[videorecording\] / Warner Bros. Pictures ; directed by Chris Columbus ; scre David Heyman ; executive producer, David Barron.](#)
2003
Visual Material
DVD 872
✔ available, Media Services - DVD

權威控制工作特性

- 重要
- 費時
- 需專業訓練
- 需遵循規則
- 需定期維護, 時時更新
- 權威檢索點的修訂需更新相關書目紀錄
- 合作編目是趨勢
 - 美國 PCC NACO, HKCAN, VIAF

權威紀錄

包含下列元素:

- 權威檢索點 (authorized access point)
- 其他形式檢索點 (variant access point)
- 相關檢索點 (*See also* references)
- 其他資料元素
- 檢索點資料引用來源
- 權威檢索點決策依據

權威紀錄內容

資料名稱	權威紀錄資料
權威檢索點 (Authorized access point)	King, Stephen, 1947-
其他形式檢索點 (見) (Variant forms)	King, Stiven, 1947-
連結其他款目 (另見) (Related entities)	Bachman, Richard, 1947-
附註	His Carrie, 1974. Washington post, 4/9/85 (Stephen King has written 5 novels using the pseudonym Richard Bachman)

MARC 21 權威紀錄

- 100 1# \$a King, Stephen, \$d 1947- (權威檢索點)
- 400 1# \$a King, Stiven, \$d 1947- (其他形式檢索點)
- 500 1# \$a Bachman, Richard, \$d 1947- (相關檢索點)
- 670 ## \$a Carrie, 1974 \$b title page (Stephen King)
- 670 ## \$a Washington post, 4/9/85, (Stephen King has written 5 novels using the pseudonym Richard Bachman)
(權威檢索點資訊來源與決策依據)

權威紀錄檔功能

- 權威紀錄檔案資料庫
 - 權威資料著錄決策依據
 - 可做編目參考工具
 - 控制檢索點形式
 - 協助查尋館藏
 - 連結書日和權威檔

資料來源 (Glenn Patton, 2008)



MARC 21 Format for
AUTHORITY DATA

Library of Congress
Network Development and MARC Standards Office

1999 Edition

Update No. 1 (October 2001) through Update No. 18 (April 2014)

This online publication provides access to both the full and concise versions of the *MARC 21 Format for Authority Data*. The "full" authority format includes examples, input conventions, and history sections. The "concise" authority format contains abridged descriptions of every data element identified in the header of each field description.

Changes to the *MARC 21 Format for Authority Data* that resulted from Update No. 18 (April 2014) are displayed in red print. The date local to the last month and year of update.

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MARC 21 權威紀錄結構

- 領導碼 (leader)
- 定長欄位 (fixed fields)
- 變長欄位 (variable fields)

Rec stat	c	Entered	19780310	Replaced	20130226073801.0
Type	z	Upd status	a	Enc lvl	n
Roman	■	Ref status	a	Mod rec	
Govt agn	■	Auth status	a	Subj	a
Series	n	Auth/ref	a	Geo subd	n
Ser num	n	Name	n	Subdiv tp	n
				Source	
				Name use	a
				Subj use	a
				Ser use	b
				Rules	z

MARC 21

權威紀錄

010		n 78011846 #z n 78027056
040		DLC #b eng #e rda #c DLC #d DLC #d PPI-MA #d UPB
046		#s 1973
053	0	ML421.A28 #c Biography
110	2	AC/DC (Musical group)
368		Rock groups #2 lcsb
370		#e Sydney (N.S.W.) #e Melbourne (Vic.) #e London (England) #c Australia #2 naf
372		Heavy metal (Music) #2 lcsb
500	1	#i Founder: #a Young, Malcolm, #d 1953- #w r
500	1	#i Founder: #a Young, Angus #w r
670		Scott, B. The AC/DC book, 1976 (a.e.) #b p. 3 (AC/DC, Australian punk rock group)
670		All music guide WWW site, Feb. 25, 2013 #b (AC/DC; rock group formed 1973 in Sydney, Australia by guitarist Malcolm Young; moved to Melbourne the following year; genres: album rock, Aussie rock, hard rock, heavy metal, arena rock)
670		Oxford music online, Feb. 25, 2013: #b Grove music online (AC/DC; Australian heavy metal band; formed in Sydney in 1973 by the brothers Angus Young and Malcolm Young) Enc. of popular music, 4th ed. (AC/DC; Australian hard rock band formed in November 1973 by Malcolm Young; moved to Melbourne in late 1974, relocating to London in January 1976)

MARC 21 權威檢索點類型

- 名稱檢索點 (Name access point)
 - 個人名稱 (欄位 100)
100 1# \$a Woolf, Virginia, \$d 1882-1941
 - 團體名稱 (欄位 110)
110 2# \$a Association for Childhood Education International
 - 會議名稱 (欄位 111)
111 2# \$a La Crosse Health and Sports Science Symposium
 - 地理名稱 (欄位 151)
151 ## \$a Mexico

MARC 21 權威檢索點類型

- 名稱/題名檢索點 (Name/Title access point)
100 1# \$a Bai, Xianyong, \$d 1937- \$t Taipei ren
- 首選題名檢索點 (Preferred title access point) (劃一題名檢索點) – 通常指無作者(或作者不詳)作品
130 #0 \$a Bible. \$l Latin. \$s Vulgate
- 主題檢索點 (Subject access point)
150 #0 \$a Economics
- 內容類型/形式檢索點 (Genre/Form access point)
155 #7 \$a Comedy films \$2 lcfgt

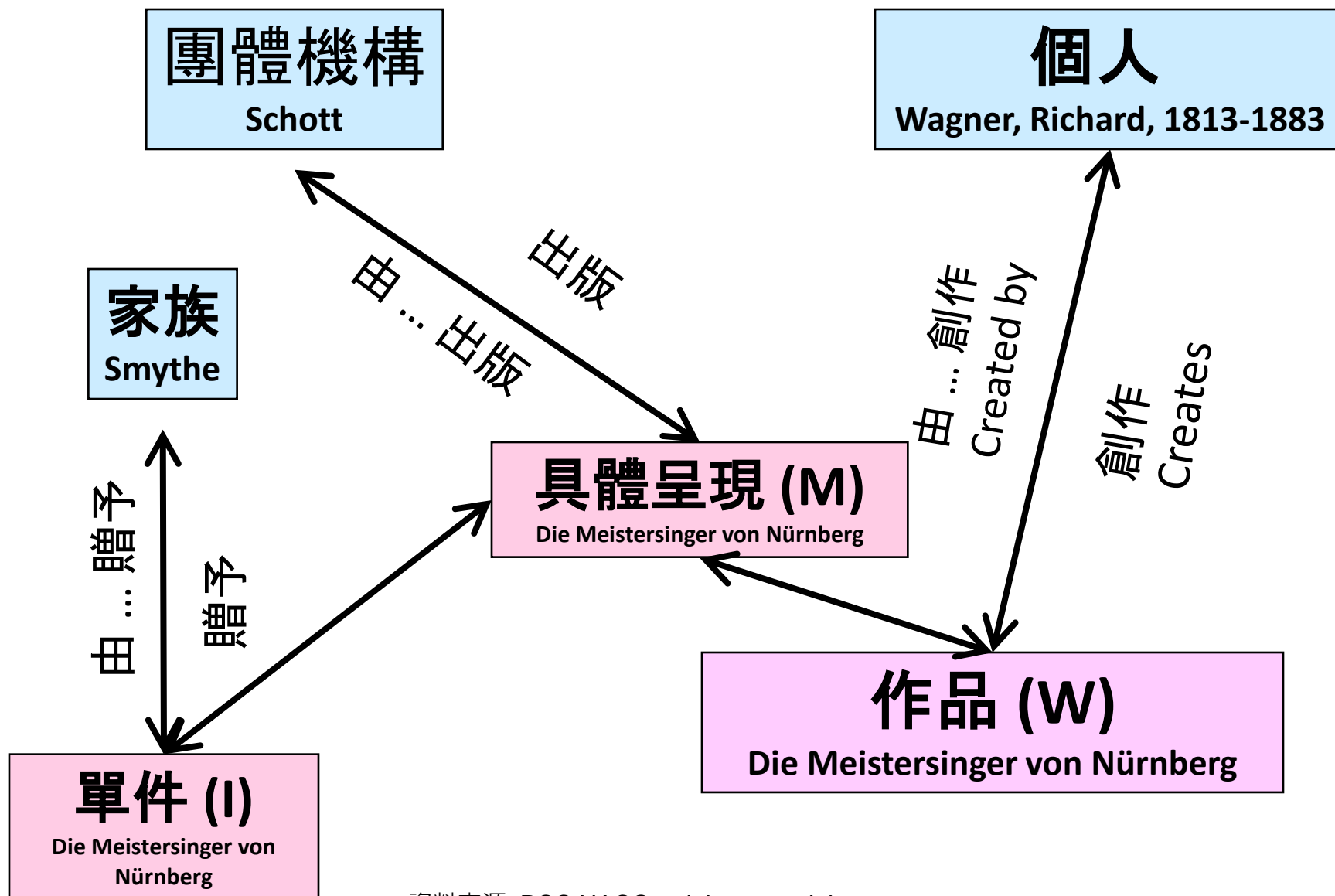
RDA 和權威控制

- 資源描述與**檢索** (Resource Description and Access)
- 資源檢索即建立和維護書目紀錄和權威紀錄檢索點，協助資源檢索
- RDA 權威工作以FRAD模式為依據

權威資料功能要件 (FRAD)

- Functional Requirements for Authority Data
- 主要指第二組實體關係
- 即個人, 團體, 家族與第一組實體間關係
- 包括負責:
 - 作品的智慧和藝術創作
 - 具體呈現的製作與發行
 - 單件的所有權

FRBR → FRAD



權威資料使用者工作 (FRAD User Tasks)

- 查詢 (Find) – 查得所需
- 辨識 (Identify) – 根據權威描述資料辨認或驗證實體
- 解釋, 交代 (Clarify, Justify) – 解釋, 交代權威資料著錄依據
- 情境化, 瞭解 (Contextualize, Understand) – 提供背景資料, 澄清相關實體關係

範例 ...

Wacker, Jim \$d 1952-

Wacker, Jim, \$d 1937-2003

vs.

Wacker, Jim, \$c Musician

Wacker, Jim, \$c American football coach

RDA 著錄檢索點 (9 -11 章)及關係 (18-22章)

- Section 3: Recording Attributes of Person, Family, & Corporate Body

- + 8: General Guidelines on Recording Attributes of Persons, Families, and Corporate Bodies

- + 9: Identifying Persons

- + 10: Identifying Families

- + 11: Identifying Corporate Bodies

- Section 6: Recording Relationships to Persons, Families, & Corporate Bodies

- + 18: General Guidelines on Recording Relationships to Persons, Families, and Corporate Bodies Associated with a Resource

- + 19: Persons, Families, and Corporate Bodies Associated with a Work

- + 20: Persons, Families, and Corporate Bodies Associated with an Expression

- + 21: Persons, Families, and Corporate Bodies Associated with a Manifestation

- + 22: Persons, Families, and Corporate Bodies Associated with an Item

FRAD 關係 (Relationships)

權威紀錄實體關係

- 個人, 團體, 家族間關係, 例:
 - (John Lennon (個人) 是 Beatles (團體)的團員)
 - Stephen King 有另一筆名 Richard Bachman
 - The College of New Jersey 的前身是 Trenton State College

010		n 80017868
040		DLC #b eng #e rda #c DLC #d DLC #d PPI-MA #d OCoLC #d DLC #
024	7	0000000121174585 #2 isni
046		#f 19401009 #g 19801208
053	0	PR6062.E6 #c English
053	0	ML420.L38 #c Biography
100	1	Lennon, John, #d 1940-1980
370		Liverpool, England #b New York, N.Y. #c Great Britain
372		Rock music #a Popular music #a Guitar music (Rock) #2 lcsh
373		Beatles #2 naf #s 1960 #t 1969-09 #v Wikipedia, Nov. 2, 2012
374		Rock musicians #a Singers #a Composers #2 lcsh
375		male
377		eng
378		#q John Winston
400	1	Lennon, J. #q (John), #d 1940-1980
400	1	Lennon, John Winston, #d 1940-1980
400	1	Renon, Jon, #d 1940-1980
400	1	Леннон, Джон, #d 1940-1980
400	1	
400	1	ジョン・レノン, #d 1940-1980
400	1	Lennon, John Ono, #d 1940-1980
400	1	Ono Lennon, John, #d 1940-1980
510	2	#i Group member of: #a Beatles #w r

010		n 79018119
040		DLC #b eng #e rda #c DLC #d DLC-R #d DLC #d OCoLC #d Uk #d IAI
046		#s 19600816 #t 1970
053	0	ML421.B4 #c Biography
110	2	Beatles
368		Musical groups #a Rock groups #2 lcsh
370		#c Great Britain #e Liverpool, England
372		Rock music #a Popular music #2 lcsh
377		eng #a fre
410	2	Bitels
410	2	Bitüls
410	2	Bitlz
410	2	
410	2	The Beatles
500	1	#i Group member: #a Lennon, John, #d 1940-1980 #w r
500	1	#i Group member: #a McCartney, Paul #w r
500	1	#i Group member: #a Harrison, George, #d 1943-2001 #w r
500	1	#i Group member: #a Starr, Ringo #w r
500	1	#i Group member: #a Best, Pete, #d 1941- #w r
500	1	#i Group member: #a Sutcliffe, Stuart, #d 1940-1962 #w r
667		Machine-derived non-Latin script reference project.
667		Non-Latin script reference not evaluated.
670		Shepherd, B. The true story of The Beatles, 1964.
670		Uriel, Y. ha-Bitels, 1993.

權威控制工作

- 書目紀錄中檢索點均需控制, 包括
 - 名稱檢索點
 - 主題檢索點
- 編目時, 查詢權威控制檔
 - 書目紀錄名稱形式需與權威紀錄名稱形式同
 - 若權威紀錄檔無該名稱檢索點, 遵循 RDA 第八, 九章, 著錄首選名稱檢索點於書目紀錄內.

www.viaf.org

虛擬國際權威檔案 (VIAF)

VIAF

Virtual International Authority File

Search

Select Field:

All Headings



Select Index:

All VIAF



Search Terms:

Search

虛擬國際權威檔案 (VIAF)

- Virtual International Authority File (VIAF)
- 虛擬國際權威檔案 (VIAF) 是OCLC承攬的名稱權威服務計畫, 內容包括多國各自建立的權威檔集中在此國際權威檔供全世界使用.
- VIAF 目的在於, 經各國權威檔的連結, 成就權威資料互通有無, 從而降低權威工作成本, 提高各國權威檔效用. 此VIAF的建立, 也使權威資料公開在網路上, 供大眾使用, 提高能見度.

虛擬國際權威檔案 (VIAF)

- 始於三館合作計畫 (LC, 法國國家圖書館, 德國國家圖書館)
- 初屬概念試驗計畫 (proof of concept)
- 由 OCLC 實施並提供技術支援及軟體發展
- 連結三館人名權威檔案

虛擬國際權威檔案 (VIAF)

- 隨著概念試驗計畫成功, 2010年創始圖書館和其他會員尋求持續性, 長程發展計畫
- 2011年, 同意將VIAF轉成OCLC一項服務計畫
- 2012年, OCLC 開始從試驗計畫轉移成生產計畫

虛擬國際權威檔案 (VIAF)

- 非單一權威檔
- 連結各機構權威檔形成虛擬超級權威紀錄 (“super” record)，每一獨特名稱可取得各機構分開建立的檔案
- 容許各機構根據所需，建立不同語言，文字和拼法的權威檢索點。
- 經由連結性資料 (linked data)，加入全球語意網世界
- 連結性資料使 VIAF 具共享，互用，能見度高特性

VIAF 的宗旨

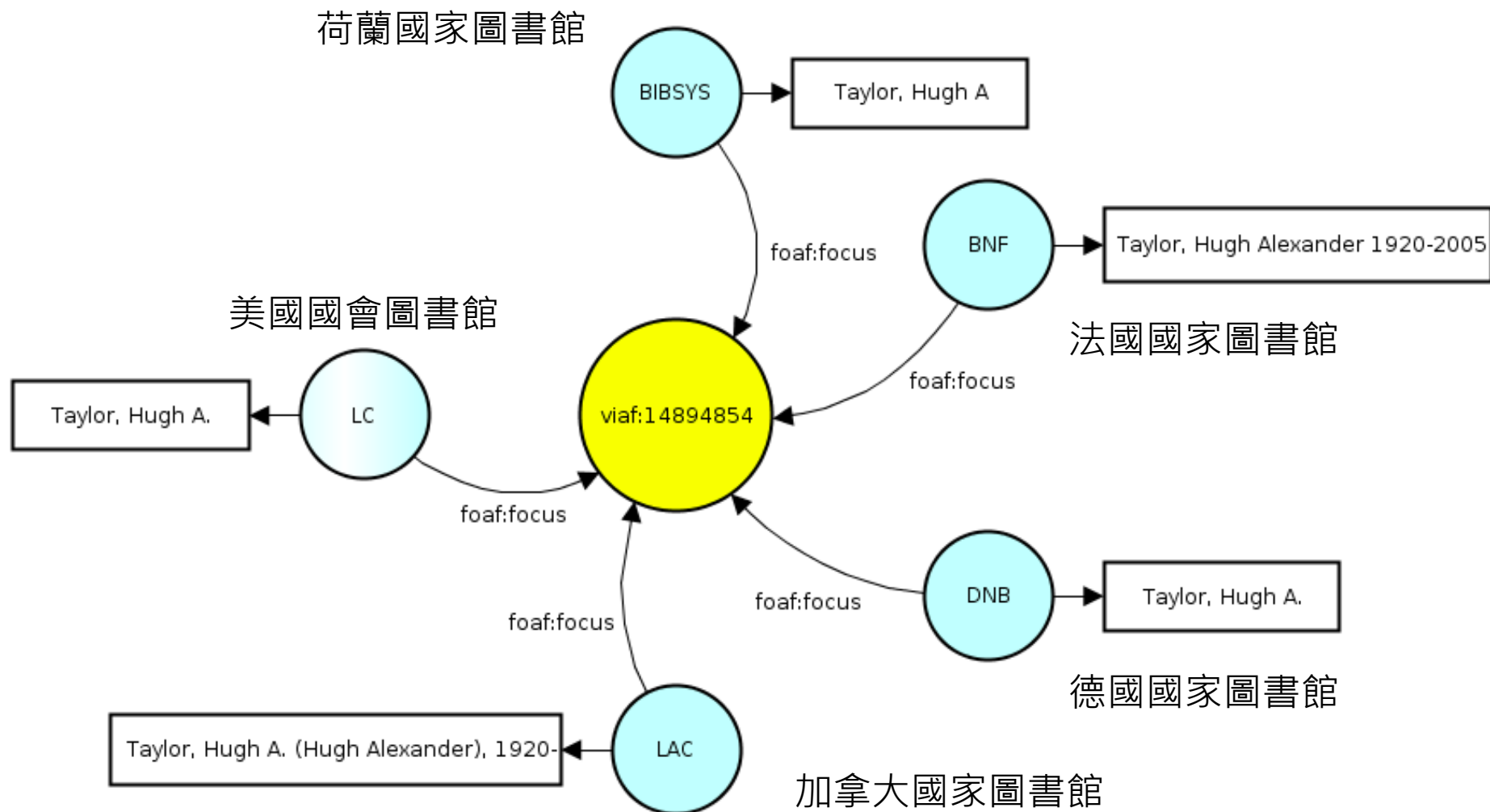
- 全球權威資料共享 (Share)
- 強化資料可信度 (Strengthen)
- 降低編目成本 (Reduce)
- 簡化國際權威工作 (Simplify)
- 提供適合在地使用的權威資料形式和語言

VIAF 會員

- 27 國家權威檔 (36 國家編目機構)
- 9 試驗機構
- 超過三千萬筆權威紀錄
- 兩千四百萬權威紀錄羣組 (cluster)
- 包括個人, 團體, 地理名稱.
- 一月更新一次



VIAF 結構解析



搜尋導演: 李安

The screenshot shows a web browser window with the VIAF search interface. The search terms are '李安' (Li An). The results are categorized into four groups, each with a 'Personal' label. A dropdown menu is open over the search terms, listing various headings for 'Lee, Ang'.

Search

Select Field: All Headings | Select Index: All VIAF | Search Terms: 李安 | **Search**

58 headings found for *Lee, Ang*

Heading	Personal	Sample Title
1		
Li, Yü, 937-978		Poems.
Li, Yu, 0937-0978		Poems of Lee Hou-chu;
Li, Yu (Southern Tang)		
Nan Tang Houzhu China, Herrscher ca. 937-978, reg. 961-976		Ljubav i ribolov
Yu, Li Bao		張好好詩
李, 煜, 937-978		
2		
Li, He, 790-816		李長吉歌詩
Li, He, 790-816, poète		Les visions et les jours
Li, He 0790-0816		Les visions et les jours
Li, Ho, 790-816		Ri Ga kashi hen
李, 賀, 790-816		李賀歌詩編：四卷
Li, He		three hundred tang poems
3	Personal	
Tang Taizong, Emperor of China, 597-649		溫泉銘
Tang Taizong 597-649 Emperor of China		溫泉銘
太宗, 597-649, 唐		
Tang Taizong China, Kaiser ca. 599-649; reg. 627-649		Tang Taizong, Emperor of China. Shinshimei Onsenmei, 1989:
Tang Taizong, kejsare av Kina, 597-649		
Tang, Taizong, 597-649		Tang Taizong zhuan, 2005:
Tang Taizong, Kinas imperators, 597-649		
Taizong, Emperor Of Tang		
4	Personal	
Lee, Ang, 1954-....		Sense and sensibility
Li, An, 1954-		Sense and sensibility
Lee, Ang		Sense and sensibility

搜尋導演: 李安

3 headings found for *李安, 1954*

	Heading
1	Lee, Ang, 1954-....            Li, An, 1954-  Lee, Ang   
2	李安, 1954-. 飲食男女 xR
3	李安, 1954-. 飲食男女 Korean 1995 xR



Lee, Ang, 1954-....

Li, An, 1954-

Lee, Ang

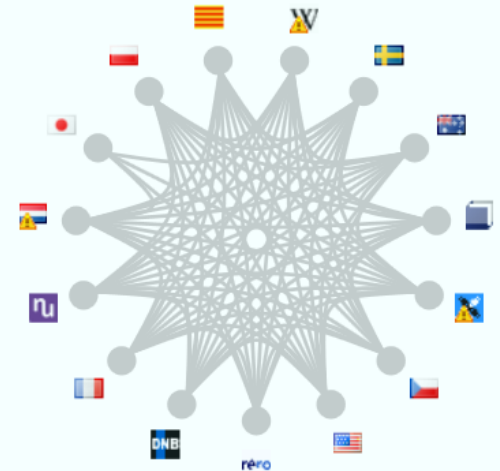
VIAF ID: 69129224 (Personal)

Permalink: <http://viaf.org/viaf/69129224>

ISNI-test: [0000 0001 1069 3224](https://isni.org/0000000110693224)

Preferred Forms

- 100 1 _ [⌘ Lee, Ang. ⌘d 1954-](#)
- 100 1 _ [⌘ Lee, Ang. ⌘d 1954-](#)
- 100 1 _ [⌘ Lee, Ang ⌘d 1954-...](#)
- 100 1 _ [⌘ Lee, Ang. ⌘d 1954-....](#)
- 100 1 _ [⌘ Lee, Ang. ⌘d 1954-](#)
- 100 1 _ [⌘ Lee, Ang. ⌘d 1954-](#)
- 100 1 _ [⌘ Lee, Ang](#)
- 100 1 _ [⌘ Lee, Ang. ⌘d 1954-](#)
- 100 1 _ [⌘ Lee, Ang ⌘d \(1954- \).](#)
- 100 1 _ [⌘ Lee, Ang](#)
- 100 1 _ [⌘ Li, An. ⌘d 1954-](#)
- 100 1 _ [⌘ Lee, Ang ⌘d \(1954- \).](#)
- 200 _ | [⌘ Lee ⌘b Ang ⌘f 1954-....](#)



Leader	00000cz a2200037n 45 0
005	20080828080856.0
008	941027nc acannaabn b aaa c
003	LC
001	LC no 94038345 (VIAF cluster) (Authority/Source Record)
035	‡a (LC)no 94038345
010	‡a no 94038345 ‡z n 94058277
035	‡a (OCoLC)oca03721769
035	‡a (DLC)801928
035	‡a (DLC)no 94038345
040	‡a OrU ‡b eng ‡c OrU ‡d DLC ‡d HkUST ‡d OCoLC ‡d DLC ‡d OCoLC
100	1 ‡a Lee, Ang, ‡d 1954-
400	1 ‡a Li, An, ‡d 1954-
400	1 ‡a 李安, ‡d 1954-
667	‡a Machine-derived non-Latin script reference project.
667	‡a Non-Latin script reference not evaluated.
670	‡a Hsi yen, 1993: ‡b t.p. (Li An) cover p. 4 (b. 1954 in P'ing-tung; screenwriter and movie director)
670	‡a Two films by Ang Lee, 1994: ‡b CIP info. sheet (film director)
670	‡a Communication from British Library, 8 February 2001: ‡b (Ang Lee lives outside New York City; has always worked in English, in the U.S.)
901	‡a 978087951568 ‡9 1
901	‡a 978030738744 ‡9 1
903	‡a 94027236 ‡9 1

2013 年進展

- VIAF 併入 OCLC 服務計畫繼續進行
- 改善處理無法區分權威紀錄 (undifferentiated records) 方式
- 已上生產伺服器, 進入正式服務項目
- 更新德語, 法語, 日語, 西語介面
- 更快速更新 VIAF 資料庫

未來計畫

- 以國際標準名稱識別號 ISNI (International Standard Name Identifier) 資料庫做為VIAF資料來源 (source file)
- 處理美國會圖書館無區分名稱權威紀錄 (LC undifferentiated names)
- 擷取 WorldCat 資料使VIAF內容 (如: 劃一題名顯示) 更豐富
- 處理非真人名稱
- 更多會員加入

VIAF 願景

- VIAF :
 - 使圖書館權威資料進入網路時代成為網路資料的一部分
 - 使圖書館以外的社羣也可利用權威資料
 - 權威資料也可由其他社羣更新, 充實或合用使更豐富
 - 與其他詞彙控制系統相容互用, 使VIAF 內容更豐富, 增加實用性.

VIAF 和編目人員

用 VIAF 做建立權威檔案時的參考工具

- 來解決同名同姓的衝突, 有疑問的出生年和名稱形式
- 未來可望檢索 VIAF 即可, 替代數次檢索不同檔案

可用 VIAF 做為權威記錄引用來源, 記錄在欄位 670 內

- 670 建立權威名稱的參考依據
- BNF in VIAF, date searched
- BNE in VIAF, date searched
- Nat. Lib. of Australia in VIAF, date searched
- LAC in VIAF, date searched

Thank you!

參考資料

- Library of Congress, “What is a marc record, and why is it important?” (<http://www.loc.gov/marc/uma/pt1-7.html>) Accessed, 7/11/14
- MARC 21 Format for Authority Data (<http://www.loc.gov/marc/authority/ecadhome.html>)
- **Governance and Orientations for VIAF in the Future** (OCLC VIAF workshop 2011 presentation)
- **VIAF update (OCLC)** (Thomas Hickey, Chief Scientist, OCLC Research, Singapore, 2013)

MARC 21權威紀錄

- 1) 權威檢索點 (MARC 欄位 1XX)
 - 標準化款目
- 2) 參考款目 (見 (See); 另見 (See also))
 - 其他形式檢索點 (MARC 欄位 4XX)
 - 連結其他相關權威檢索點 (MARC 欄位 5XX)
- 3) 附註 (引用著錄來源) (MARC 欄位 3XX, 6XX)